AP3 Rec'd PCT/PTO 19 APR 2006

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
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## ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 0074/071001 DESIGNATED/ELECTED OFFICE (DO/EO/US) SFAPPLICATION NO (IF BOOM), see 37 CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/JP2004/015691 October 22, 2004 October 27, TITLE OF INVENTION RECORDING MEDIUM, DATA PROCESSING APPARATUS, AND DATA PROCESSING METHOD APPLICANT(S) FOR DO/EO/US Hirokazu SO, Shinji INOUE and Makoto OCHI Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). b. X has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. LXI An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. لکا ہ have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| 20. Other items or information:  (Continued on attached page)  The following fees have been submitted 21.  | U.S. APPLICATION NO. (if known, see 37 CFR 1.5)  |  |  |   | INTERNATIONAL APPLICATION NO. PCT/JP2004/015691 |              | ATTORNEY'S DOCKET NUMBER 0074/071001 |  |  |  |
|--|--|--|--|---|---|--------------|--------------------------------------|--|--|--|
| The following fees have been submitted 21.   | 20. Other  | items or informati   | on:                                      |   |   |              |                                      |  |  |  |
| 21.  |  | (Cc  |  |   |   |              |                                      |  |  |  |
| 21.  |  |  |  |   |   |              |                                      |  |  |  |
| Examination fee (37 CFR 1.492(c))  | The following  | lowing fees have b   | een submitted                            |   |   | CALCULATIONS | PTO USE ONLY                         |  |  |  |
| If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0 \$200  23.  Search fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0 \$100  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as a International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB \$400  All other situations \$100  International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB \$400  All other situations \$100  International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB \$400  All other situations \$100  International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB \$400  All other situations \$100  International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB \$400  Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in omplance with 37 CFR 1.422(f)). The Isa (STR) of Provided Internation | 21. Bas  | ic national fee (37  | CFR 1.492(a))                            |   | \$300   | \$ 300.00    | ·                                    |  |  |  |
| by IPEAUS indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$200  23. Search fee (37 CFR 1.492(b)) If the written opinion of the ISAUS or the International preliminary examination report prepared by IPEAUS indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Search floating Authority International Search group of the provisions of PCT Article 33(1)-(4)\$0  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB\$400  All other situations  TOTAL OF 21, 22 and 23 =\$400  Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (27 FR 1.492(b)).  The fee is \$250 for each additional 50 or fraction thereof.  Total Sheets  Extra Sheets  Number of each additional 50 or fraction thereof.  Total Sheets   Extra Sheets   Number of each additional 50 or fraction thereof.  Total Sheets   Extra Sheets   Number of each additional 50 or fraction thereof.  Total Commencement of the national stage (37 CFR 1.492(h)).  CLAIMS   NUMBER FILED   NUMBER EXTRA   RATE   \$  Total claims   20   | 22. Exar   | mination fee (37 Cl  | FR 1.492(c))                             |   |   |              |                                      |  |  |  |
| If the written opinion of the ISAUS or the International preliminary examination report prepared by IPEAUS indicates all claims satisfy provisions of PTC Article 3(1)(4) \$0  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB \$400  All other situations  TOTAL OF 21, 22 and 23 = \$400  All other situations  TOTAL OF 21, 22 and 23 = \$400  Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(f)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  Total Sheets Extra Sheets Number of each additional 50 or fraction thereof.  Total Sheets Of furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(f)).  CLAIMS NUMBER FILED NUMBER EXTRA RATE \$  Total claims 20 - 20 = 0 x \$50 \$ 0.00  Independent claims 3 - 3 = 0 x \$200 \$ 0.00  MULTIPLE DEPENDENT CLAIM(s) (if applicable) + \$360 \$  TOTAL OF ABOVE CALCULATIONS = \$1,030.00  Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.  SUBTOTAL = \$1,030.00  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31), \$40.00 per property + \$  TOTAL FEES ENCLOSED = \$1,030.00  Amount to be refunded:  **TOTAL FEES ENCLOSED = \$1,030.00   | by IPEA  | /US indicates all cl   | SA/US or the inter<br>aims satisfy provi | mational preliminary examinat<br>sions of PCT Article 33(1)-(4) | \$0   | \$ 200.00    |                                      |  |  |  |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.821(c)).  Total Sheets   | If the written opin<br>IPEA/US<br>Search fee (37 C<br>Internati<br>International Sea<br>previoust  | nion of the ISA/US<br>5 indicates all claim<br>CFR 1.445(a)(2)) had<br>conal Searching Au<br>arch Report prepar<br>y communicated to | \$ 400.00                                |   |   |              |                                      |  |  |  |
| sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  Total Sheets  Extra Sheets  Number of each additional 50 or fraction thereof.  RATE  Total Sheets  Surcharge of \$130.00 for fumishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).  CLAIMS  NUMBER FILED  NUMBER EXTRA  RATE  Total claims  20 - 20 = 0   |  |  |  |   |   | 900.00       |                                      |  |  |  |
| Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).  CLAIMS  NUMBER FILED  NUMBER EXTRA  RATE  Total claims  20 -20 = 0  | sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof. |  |  |   |   | ·            | ·                                    |  |  |  |
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| after the date of commencement of the national stage (37 CFR 1.492(h)).  CLAIMS  NUMBER FILED  NUMBER EXTRA  RATE  Total claims  20 -20 = 0  | 100  | 700 -  | any of the searc                         | <del></del>   | <del> </del>                                    |              | 1                                    |  |  |  |
| Total claims 20 -20 = 0 x \$50 \$ 0.00  Independent claims 3 -3 = 0 x \$200 \$ 0.00  MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360 \$  TOTAL OF ABOVE CALCULATIONS = \$ 1,030.00  Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.  SUBTOTAL = \$ 1,030.00  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).  TOTAL NATIONAL FEE = \$ 1,030.00  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$  TOTAL FEES ENCLOSED = \$ 1,030.00  Amount to be refunded: \$ Amount to be   |  |  |  |   | oau or declaration                              | \$ 130.00    |                                      |  |  |  |
| Independent claims 3 -3 = 0 x \$200 \$ 0.00  MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360 \$  TOTAL OF ABOVE CALCULATIONS = \$ 1,030.00  Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.  SUBTOTAL = \$ 1,030.00  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).  TOTAL NATIONAL FEE = \$ 1,030.00  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$  TOTAL FEES ENCLOSED = \$ 1,030.00  Amount to be refunded: \$ Amount to be   | CLAIMS   |  |  |   | RATE  |              | <del></del>                          |  |  |  |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360 \$  TOTAL OF ABOVE CALCULATIONS = \$1,030.00  Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.  SUBTOTAL = \$1,030.00  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). + TOTAL NATIONAL FEE = \$1,030.00  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + TOTAL FEES ENCLOSED = \$1,030.00  Amount to be refunded: \$ Amount to be   | Total claims   |  |  | ····  | x \$50  | \$ 0.00      | _                                    |  |  |  |
| TOTAL OF ABOVE CALCULATIONS = \$ 1,030.00  Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.  SUBTOTAL = \$ 1,030.00  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).  TOTAL NATIONAL FEE = \$ 1,030.00  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property  |  |  |  | 0   | <del>i</del>                                    |              |                                      |  |  |  |
| Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.  SUBTOTAL = \$ 1,030.00  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).  TOTAL NATIONAL FEE = \$ 1,030.00  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property  TOTAL FEES ENCLOSED = \$ 1,030.00  Amount to be refunded:  Amount to be  | MULTIPLE DEP   | ENDENT CLAIM(S   | (if applicable)                          |   |   |              |                                      |  |  |  |
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| c. X   | The Commissioner is hereby authorized to charge an Account No. $\underline{19-2586}$ A duplicate copy of this s |                       | may be required, or credit any overpayment to Deposit              |  |  |  |  |  |  |
| d. 🛛   | Fees are to be charged to a credit card. WARNING: be included on this form. Provide credit card inform          |                       | may become public. Credit card information should not on PTO-2038. |  |  |  |  |  |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. |   |                       |  |  |  |  |  |  |  |
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| SEND A   | LL CORRESPONDENCE TO:   | 1                     | Philliamite  |  |  |  |  |  |  |
|  | Randolph A. Smith SMITH PATENT OFFICE   |                       | Randolph A. Smith  |  |  |  |  |  |  |
|  | 1901 Pennsylvania Ave., N.W.  |                       | NAME   |  |  |  |  |  |  |
|  | Suite 901   | ,                     | 32,548   |  |  |  |  |  |  |
|  | Washington DC 20006-3433  |                       | REGISTRATION NUMBER  |  |  |  |  |  |  |
|  | (Phone) 202-530-5900  |                       |  |  |  |  |  |  |  |
|  | (Fax) 202-530-5902  |                       |  |  |  |  |  |  |  |
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40/576422

## 1AP20 Rec'd P37770 19 APR 2006

Applicants: Hirokazu SO et al.

Application No.: filed concurrently

Title: RECORDING MEDIUM, DATA PROCESSING APPARATUS, AND DATA

PROCESSING METHOD

International Application No.: PCT/JP2004/015691
International Filing Date : October 22, 2004
Priority Date : October 27, 2003
U.S. Filing Date : April 19, 2006
Attorney's Docket No. : 0074/071001

## ATTACHMENT PAGE FOR TRANSMITTAL LETTER

Applicants submit the following items of information:

Point No. 20: Continued below

| 1)<br>2) | Information Disclosure Statement<br>PTO-1449 Form (in duplicate) |   | Pages<br>Page |
|----------|--|---|---------------|
| 3)       | 5 References, 1 English Translation and 3 English Abstracts      |   | J             |
| 4)       | Form PCT/ISA/202, Notification of Receipt of Search Copy         | 1 | Page          |
| 5)       | Form PCT/ISA/210, English Translation of International           | _ | _             |
|          | Search Report  | 1 | Page          |
| 6)       | Form PCT/ISA/210, International Search Report                    | 2 | Pages         |
| 7)       | Form PCT/ISA/220, Notification of Transmittal of                 |   |               |
|          | the International Search Report or the Declaration               | 1 | Page          |
| 8)       | Form PCT/ISA/237, Written Opinion of the International           |   |               |
| ŕ        | Searching Authority  | 3 | Pages         |
| 9)       | Form PCT/IB/301, Notification of Receipt of Record Copy          | 2 | Pages         |
| 10)      | Form PCT/IB/304, Notification Concerning Submission              |   |               |
|          | or Transmittal of Priority Document                              | 1 | Page          |
| 11)      | Form PCT/IB/308, Notice Informing the Applicant of the           |   |               |
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| 13)      |  |   | Pages         |
| _3,      | WO 2005/041050   |   | ,             |
|          |  |   |               |